



SAFL/24
Confirmation No. 3110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gregory C. Jensen et al.
Serial No.: 10/766,551
Filed: January 28, 2004
For: Electronic Transaction Verification System

Art Unit: 3621
Examiner: Elisca E. Pierre
Atty. Docket No.: SAFL/24

TRANSMITTAL

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

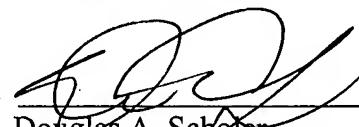
Sir:

We are transmitting herewith the attached:

Transmittal (in duplicate) containing Certificate of Mailing Under 37 CFR 1.8
Second Supplemental Information Disclosure Statement
Substitute Form PTO-1449 (1 page)
2 Other Document References
Reply Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 23-3000.
A duplicate of this sheet is enclosed.

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By: 
Douglas A. Scheler
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CERTIFICATE OF MAILING 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to
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Judith L. Volk
Judith L. Volk



PATENTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence and enclosures are being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450 on November 2, 2004.

Judith L. Volk

Judith L. Volk

Serial No: 10/766,551
Filed: January 28, 2004
Applicant: Gregory C. Jensen et al.
Title: ELECTRONIC TRANSACTION VERIFICATION SYSTEM
Art Unit: 3621
Examiner: Elisca E. Pierre
Atty Dock: SAFL-24
Confirmation No.: 3110

Cincinnati, Ohio 45202

November 2, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith which is imposed by Rule 56 (37 C.F.R. §1.56), the art listed on the attached form PTO-1449 (1 page) is called to the attention of the U.S. Patent and Trademark Office ("PTO") in connection with the above-identified patent application. Copies of the non-U.S. Patent references are attached hereto. No representation is made that the cited art is the only art, or that the cited art represents the best art.

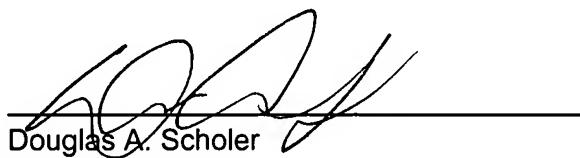
The Examiner is urged to consider the cited references and to make an independent decision with respect to their materiality. For the sake of completeness, the Examiner is advised of co-pending applications:

| <u>Serial No.</u> | <u>Filing Date</u> | <u>Inventors</u> |
|-------------------|--------------------|-------------------------|
| 10/398,356 | April 4, 2003 | Dwayne Mercredi et al. |
| 10/398,360 | April 4, 2003 | Dwayne Mercredi et al. |
| 10/614,353 | July 7, 2003 | Timothy J. Brown et al. |
| 10/398,355 | July 23, 2003 | Dwayne Mercredi et al. |

It is believed that a First Official Action has yet to be issued in this case, and no fee is believed due herefor. If a fee is due, the Commissioner is authorized to charge our Deposit Account 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.



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| SUBSTITUTE FORM PTO-1449 (MODIFIED) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. SAFL/24 | SERIAL NO. 10/766,551 |
| O I P E NOV 05 2004 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b)) | | | | APPLICANT Gregory C. Jensen et al. | Confirmation No. 3110 |
| | | | | FILING DATE January 28, 2004 | GROUP 3621 |

| U.S. PATENT DOCUMENTS | | | | | | | | | | |
|-----------------------|-----|---------------|---|---|------------|----------|---|-------|----------|---|
| EXAMINER INITIAL | | PATENT NUMBER | | | ISSUE DATE | PATENTEE | | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | A.A | 5 | 8 | 7 | 7 | 4 | 8 | 3 | | 3/2/1999 Bilich et al. 235 382 7/18/1995 |
| | A.B | 6 | 0 | 5 | 8 | 4 | 2 | 6 | | 5/2/2000 Godwin et al. 709 229 7/14/1997 |
| | A.C | 6 | 0 | 7 | 0 | 1 | 4 | 1 | | 5/30/2000 Houvener et al. 705 1 7/28/1998 |
| | A.D | 6 | 0 | 8 | 1 | 8 | 9 | 3 | | 6/27/2000 Grawrock et al. 713 183 5/28/1997 |
| | A.E | 6 | 6 | 7 | 4 | 5 | 3 | 7 | | 1/6/2004 Kadowaki 358 1.15 6/15/1998 |
| | A.F | 6 | 7 | 3 | 6 | 3 | 1 | 3 | | 5/18/2004 Dickson 235 380 5/9/2000 |
| | A.G | | | | | | | | | |
| | A.H | | | | | | | | | |
| | A.I | | | | | | | | | |
| | A.J | | | | | | | | | |
| | A.K | | | | | | | | | |

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

| | | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION (YES/NO) |
|--|-----|-----------------|------------------|--------------------------|-------|----------|----------------------|
| | A.L | | | | | | |
| | A.M | | | | | | |
| | A.N | | | | | | |
| | A.O | | | | | | |
| | A.P | | | | | | |
| | A.Q | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

| | | |
|--|-----|---|
| | A.R | Samar, V. et al., <u>Unified Login with Pluggable Authentication Modules (PAM)</u> , Open Software Foundation Request for Comments: 86.0, rfc86_0, (October 1995), pp. 1-30. |
| | A.S | The Open Group, <u>Preliminary Specification, "X/Open Single Sing-On Service (XSSO) Pluggable Authentication Modules"</u> , ISBN: 1-85912-144-6, Document No. P702, (June 1997), pp. Cover-126. |
| | A.T | |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.